

## **Impact of Covid-19 on researchers and the UK research base: Wave 2 survey February 2021.**

UKRI, supported by The Department for Business, Energy, and Industrial Strategy (BEIS), have commissioned Vitae to run follow-up survey on the “Impact of Covid-19 on researchers and the UK research base” to gather evidence of the ongoing implications of the Covid-19.

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### **Data Privacy Notice: Impact of Covid-19 on researchers and the UK research base: Wave 2 survey**

**Updated 16 February 2021**

This Mini Privacy Notice (PN) sets out how we will use your personal data, and your rights. All personal data provided to UK Research and Innovation in connection to this survey will be processed in accordance with current UK data protection legislation. Further information on how UKRI uses personal data, and how you can exercise your rights as a data subject, can be found in the UK Research and Innovation Privacy Notice (<https://www.ukri.org/privacy-notice/>).

As data controller, UK Research and Innovation (UKRI) recognises its duty to people whose information it holds, to treat that information responsibly, keep it safe and secure, and process it correctly and proportionately.

This note only refers to your personal data, for example your name, email address and anything that could be used to identify you personally. It does not refer to the content of your other responses to the survey.

#### **Personal Data**

Personal data is information that relates to an identified or identifiable individual. Personal data only includes information relating to natural persons who:

- can be identified or who are identifiable, directly from the information in question; or
- who can be indirectly identified from that information in combination with other information.

#### **Background**

The Covid-19 pandemic and response has impacted research activities and outputs of the UK's R&D sector in many ways. UK Research & Innovation (UKRI), The Department for Business, Energy & Industrial Strategy (BEIS) and CRAC/Vitae have a common interest in supporting UK researchers and research capacity and have been exploring the impact of Covid-19 restrictions on researchers and their research to inform their interventions within the Government's Research and Development (R&D) [Roadmap](#).

The 'Impact of Covid-19 on researchers and the UK research base' survey was first run in May-June 2020. This is the second wave of this survey and will follow up respondents who gave permission to be re-contacted to understand how their working environment, experiences and challenges have changed since the first wave of the survey.

UKRI and BEIS will use aggregated data from this survey to understand the pressures facing the sector and to inform planning going forward.

### **Your data**

We will process the following personal data if it is given in the survey: name & email address, plus anything that falls within the personal data definition immediately above.

### **Purpose**

UKRI and BEIS have partnered with CRAC/Vitae to undertake this survey. UKRI is the data controller, with CRAC/Vitae as data processor.

CRAC/Vitae adheres to all UK General Data Protection Regulations and has conducted many surveys with the research population. You can find further detail on CRAC/Vitae UK GDPR and this project here: <https://www.crac.org.uk/portfolio/research/impact-of-covid-19-on-researchers-and-the-uk-research-base>

UKRI, BEIS and CRAC/Vitae will ask you for some voluntary personal data and process this to run this wave 2 survey on the impacts of the Covid-19 pandemic on UK research capacity. The information you provide will help better understand the complex short-term and medium-term impacts of the Covid-19 restrictions on the activities and outputs of UK researchers.

CRAC/Vitae will also use your personal information to help us identify any responses from bots or other fraudulent sources to maintain the integrity of the survey.

CRAC/Vitae will undertake the analysis and reporting will be at an aggregated level. Reporting will not at individual/person or organisation level and will not include verbatim quotations. CRAC/Vitae will share the aggregated (non-identifiable) survey findings and an anonymised dataset with UKRI and BEIS, which will not include names or email addresses. CRAC/Vitae will securely dispose of all names/emails after two years.

### **Lawful basis for processing your personal data**

We capture and process personal information using public task and/or consent as a lawful basis.

### **Special Category Data**

You will be asked if you will provide Special Category Data (SCD) in this survey, for example, personal data concerning health and wellbeing. All questions are voluntary, and some ask for free text responses. By answering these questions you are giving your consent for the data to be processed and used to identify themes within responses that in turn may be used by BEIS and UKRI to develop effective policies.

You will also be asked if you will provide ethnicity information. We will use this information to help us better understand whether Covid-19 is having an equal or unequal impact on UK researchers.

### **Recipients**

Your personal data will only be shared with a small number of relevant officials at CRAC/Vitae.

Data will only be reported in aggregate form at broad types of research and types of researcher, with responses which could lead to the identification of an organisation or individual grouped to minimise this risk.

Other third parties with whom personal data will be shared with are:

- The IT supplier providing survey hosting services (SurveyMonkey enterprise version is the hosting service, and here is their GDPR compliance information: <https://www.surveymonkey.com/mp/gdpr/> ).
- CRAC/Vitae to process the data. CRAC/Vitae will transfer the data to UKRI using a secure portal.

Response data from the survey will only be shared beyond UKRI, BEIS and CRAC/Vitae in aggregated form. An aggregated analysis of responses may also be shared with the Information Commissioner's Office (ICO), the Government Internal Audit Agency (GIAA), the National Audit Office (NAO) and central government departments. Additionally, responses may be used to inform future government publications.

Information provided whilst contributing to this survey, may be published or disclosed in accordance with access to information regimes, primarily the Freedom of Information Act 2000 (FOIA) and the Data Protection Act (2018). Your information would only be disclosed under information access regimes where doing so would not breach your data protection rights.

### **Retention**

Your personal data will be held for 2 years following the completion of this research, with the rest of the dataset held for 5 years for analysis purposes, before deletion.

### **International Transfers**

Our IT supplier providing survey management services may store data in the United States of America (the US). We will move the data to UK servers after the survey closes. We will delete all data stored on US servers within 90 days of the closure of this survey.

### **Contact details**

The data controller for your personal data is the UK Research and Innovation (UKRI).

You can contact the UKRI Data Protection Officer at:

Email: [dataprotection@ukri.org](mailto:dataprotection@ukri.org)

Date of notice: 16 February 2021